



NORTON CAPITAL MANAGEMENT, INC.
FORM ADV
CONSOLIDATED PARTS 2B

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This Schedule 2B Set provides information about the investment adviser representatives of Norton Capital Management, Inc. (“NCM”) and supplements NCM’s Part 2A brochure. You should have received a copy of that brochure. Please contact us at (757) 623-1200 if you did not receive NCM’s brochure or if you have any questions about the contents of this supplement.

Additional information about the investment adviser representatives contained herein is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Raymond Norton was born in 1946. He graduated from the University of Rochester, Rochester, New York, with a Bachelor of Arts in Economics in 1967. He achieved the Chartered Financial Analyst® (CFA®) designation in 1988 from the Institute of Chartered Financial Analysts.

From 1967 to 1976, he was an officer in the United States Marine Corps. He was a helicopter pilot and is a Vietnam veteran. Among numerous decorations with valor for performances during combat, he received a Distinguished Flying Cross. From 1976 to 1978, he was an Account Executive with Merrill Lynch Pierce Fenner & Smith Incorporated. He joined Shearson Lehman Brothers (then E.F. Hutton) in 1978 as a Financial Consultant. In 1981, after successfully completing a rigorous training program, he was designated a Portfolio Manager. He was appointed Assistant Vice President in 1985 and Vice President in 1987. In 1987, he was also promoted to Senior Portfolio Manager. During this time Mr. Norton also served as an instructor to new portfolio managers and made academic presentations to the Directors of Shearson's Consulting Service Program. His subjects were asset allocation, bond portfolio management, and risk measurement. In 1993, he was promoted to Senior Portfolio Management Director. He was a representative of D.E. Frey & Company, a securities brokerage firm, from June 1993 to October 2000 when he joined B.C. Ziegler and Company in the same capacity. He has been President of Norton Capital Management, Inc. since he formed the firm in June 1993.

He has passed the FINRA Series 7 General Securities Representative Exam, Series 24 General Securities Principal Exam, Series 53 Municipal Fund Securities Principal Exam, Series 63 Uniform Securities Agent State Law Exam, and Series 65 Uniform Investment Adviser Law Exam.

Item 3 - Disciplinary Information

Mr. Norton does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court; (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Item 4 - Other Business Activities

Mr. Norton is not actively engaged in any other investment-related business activities.

Item 5 - Additional Compensation

Mr. Norton does not receive any additional economic benefit from third parties for providing advisory services.

Item 6 - Supervision

Mr. Norton is the Designated Supervisor/Chief Compliance Officer for NCM. He is responsible for his own supervision as well as that of all NCM investment adviser representatives. His contact information is available on the cover page of this Form ADV Part 2B.

Item 2 - Educational Background and Business Experience

Ms. Buckley was born in 1976. She earned an MBA in Management - Suma Cum Laude in 2003 from Metropolitan University in San Juan, Puerto Rico. She earned a Bachelor of Science in Finance from Santa Maria La Antigua University in Panama City, Panama. She worked for Merrill Lynch as an Investor Services Advisor from 2004 to 2005, then with B.C. Ziegler and Company until 2006. She joined NCM as Operations Manager in June of 2006.

She has passed the FINRA Series 7, General Securities Representative Exam and Series 66 Uniform Combined State Law Exam.

Item 3 - Disciplinary Information

Ms. Buckley does not have any disciplinary information to disclose. She has not: (a) been party to a criminal or civil action in a domestic, foreign or military court; (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Item 4 - Other Business Activities

Ms. Buckley is not actively engaged in any other investment-related business activities.

Item 5 - Additional Compensation

Ms. Buckley does not receive any additional economic benefit from third parties for providing advisory services.

Item 6 - Supervision

Ms. Buckley is supervised by Raymond J. Norton, NCM's Chief Compliance Officer. Her contact information is available on the cover page of this Form ADV Part 2B.

Mr. Norton, and other individuals as he designates, regularly reviews the accounts for which Ms. Buckley provides investment advisory services to monitor suitability of recommendations and compliance with regulatory and internal procedures.

Professional Designation Information

CFA®

The Chartered Financial Analyst® (“CFA”) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute—the largest global association of investment professionals.

To earn the CFA charter, candidates must:

- 1) pass three sequential, six-hour examinations;
- 2) have at least four years of qualified professional investment experience;
- 3) join CFA Institute as member; and
- 4) commit to abide by, and annually reaffirm, adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charter holders to:

- place their clients’ interests ahead of their own
- maintain independence and objectivity
- act with integrity
- maintain and improve their professional competence
- disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today’s quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charterholders—often making the charter a prerequisite for employment.

Additionally, regulatory bodies in 19 countries recognize the CFA charter as proxy for meeting certain licensing requirements, and more than 125 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investment, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.